

08-31-00

11

+

PTO/SB/05 (2/98) (modified)
Approved for use through 9/30/2000, OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

NEW UTILITY PATENT APPLICATION TRANSMITTAL <i>(only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket Number	5067
	First Named Inventor	Hon Wah Chin
	Total Pages in this Submission	40
	Express Mail Label No.	EL482477912US

JC907 U.S. PTO
09/650254
08/29/00

08/29/00

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed 2. <input checked="" type="checkbox"/> Specification <i>(preferred arrangement set forth below)</i> <input type="checkbox"/> Descriptive Title of the Invention <input type="checkbox"/> Cross Reference(s) to Related Case(s) <input type="checkbox"/> Statement Regarding Fed sponsored R & D <input type="checkbox"/> Background of the Invention <input type="checkbox"/> Brief Summary of the Invention <input type="checkbox"/> Brief Description of the Drawing(s) <input type="checkbox"/> Detailed Description <input type="checkbox"/> Claim or Claims <input type="checkbox"/> Abstract of the Disclosure 3. <input checked="" type="checkbox"/> Drawing(s) <i>(when necessary per 35 USC 113)</i> 4. Oath or Declaration a. <input checked="" type="checkbox"/> New Declaration <input checked="" type="checkbox"/> Executed b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> Incorporation by Reference <i>(useable if Box 4b is checked)</i> . The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	6. <input checked="" type="checkbox"/> Assignment & Assignment Recordation Cover Sheet 7. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 8. <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Citation(s) 9. <input type="checkbox"/> Preliminary Amendment 10. Small Entity Statement <input checked="" type="checkbox"/> New Statement enclosed <input type="checkbox"/> Statement filed in prior application. Status still proper and desired 11. <input checked="" type="checkbox"/> Return Postcard 12. <input type="checkbox"/> 13. <input type="checkbox"/> 14. <input type="checkbox"/> 15. <input type="checkbox"/> 16. <input type="checkbox"/>

ADDRESS TO:

**Box Patent Application
Commissioner for Patents
Washington, D.C. 20231**

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: ___/___

Prior application information: Examiner: _____ Group/Art Unit: _____

18. CORRESPONDENCE ADDRESS

NAME	Edward A. Van Gieson Fenwick & West LLP				
ADDRESS	Two Palo Alto Square				
CITY	Palo Alto	STATE	CA	ZIP CODE	94306
COUNTRY	U.S.A.	TELEPHONE	(650) 858-7997	FAX	(650) 494-1417
Name (Print/Type)	Edward A. Van Gieson			Registration No. (Attorney/Agent)	44,386
Signature	<i>Edward A. Van Gieson</i>			Date	August 29, 2000

EL482477912US

0002/PTO(modified) Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	<div style="text-align: center;">Complete if Known</div> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;"></td> </tr> <tr> <td>Filing Date</td> <td>August 29, 2000</td> </tr> <tr> <td>First Named Inventor</td> <td>Hon Wah Chin</td> </tr> <tr> <td>Group Art Unit</td> <td></td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Attorney Docket Number</td> <td>5067</td> </tr> </table>	Application Number		Filing Date	August 29, 2000	First Named Inventor	Hon Wah Chin	Group Art Unit		Examiner Name		Attorney Docket Number	5067
Application Number														
Filing Date	August 29, 2000													
First Named Inventor	Hon Wah Chin													
Group Art Unit														
Examiner Name														
Attorney Docket Number	5067													
FEE TRANSMITTAL														
TOTAL AMOUNT OF PAYMENT Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$472.00)														

METHOD OF PAYMENT	FEE CALCULATION (continued)
--------------------------	------------------------------------

1. The Commissioner is hereby authorized to: <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. ¹ <input type="checkbox"/> Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account. Deposit Account Number: 19-2555 Deposit Account Name: FENWICK & WEST LLP A Duplicate Copy of this authorization is attached	3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">Large Entity Fee Code/Fee</th> <th style="width: 15%;">Small Entity Fee Code/Fee</th> <th style="width: 45%;">Fee Description</th> <th style="width: 25%;">Fee Due</th> </tr> </thead> <tbody> <tr> <td>105/\$130</td> <td>205/\$65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>127/\$50</td> <td>227/\$25</td> <td>Surcharge-late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>147/\$2,520</td> <td>147/\$2,520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>115/\$110</td> <td>215/\$55</td> <td>Extension for response within first month[†]</td> <td></td> </tr> <tr> <td>116/\$380</td> <td>216/\$190</td> <td>Extension for response within second month[†]</td> <td></td> </tr> <tr> <td>117/\$870</td> <td>217/\$435</td> <td>Extension for response within third month[†]</td> <td></td> </tr> <tr> <td>118/\$1,360</td> <td>218/\$680</td> <td>Extension for response within fourth month[†]</td> <td></td> </tr> <tr> <td>128/\$1,850</td> <td>228/\$925</td> <td>Extension for response within fifth month[†]</td> <td></td> </tr> <tr> <td>119/\$300</td> <td>219/\$150</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>141/\$1,210</td> <td>241/\$605</td> <td>Petition to revive unintentionally abandoned application</td> <td></td> </tr> <tr> <td>142/\$1,210</td> <td>242/\$605</td> <td>Utility Issue Fee (Or Reissue)</td> <td></td> </tr> <tr> <td>143/\$430</td> <td>243/\$215</td> <td>Design Issue Fee</td> <td></td> </tr> <tr> <td>122/\$130</td> <td>122/\$130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123/\$50</td> <td>123/\$50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>126/\$240</td> <td>126/\$240</td> <td>Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>581/\$40</td> <td>581/\$40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td>40</td> </tr> <tr> <td>146/\$690</td> <td>246/\$345</td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td></td> </tr> <tr> <td>149/\$690</td> <td>249/\$345</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td colspan="3">Other fee (specify):</td> <td></td> </tr> <tr> <td colspan="3">Other fee (specify):</td> <td></td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (3)</td> <td>(\$40)</td> </tr> </tbody> </table>	Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	105/\$130	205/\$65	Surcharge - late filing fee or oath		127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet		147/\$2,520	147/\$2,520	For filing a request for reexamination		115/\$110	215/\$55	Extension for response within first month [†]		116/\$380	216/\$190	Extension for response within second month [†]		117/\$870	217/\$435	Extension for response within third month [†]		118/\$1,360	218/\$680	Extension for response within fourth month [†]		128/\$1,850	228/\$925	Extension for response within fifth month [†]		119/\$300	219/\$150	Notice of Appeal		141/\$1,210	241/\$605	Petition to revive unintentionally abandoned application		142/\$1,210	242/\$605	Utility Issue Fee (Or Reissue)		143/\$430	243/\$215	Design Issue Fee		122/\$130	122/\$130	Petitions to the Commissioner		123/\$50	123/\$50	Petitions related to provisional applications		126/\$240	126/\$240	Submission of Information Disclosure Statement		581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	40	146/\$690	246/\$345	Filing a submission after final rejection (37 CFR 1.129(a))		149/\$690	249/\$345	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify):				Other fee (specify):				SUBTOTAL (3)			(\$40)
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due																																																																																						
105/\$130	205/\$65	Surcharge - late filing fee or oath																																																																																							
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet																																																																																							
147/\$2,520	147/\$2,520	For filing a request for reexamination																																																																																							
115/\$110	215/\$55	Extension for response within first month [†]																																																																																							
116/\$380	216/\$190	Extension for response within second month [†]																																																																																							
117/\$870	217/\$435	Extension for response within third month [†]																																																																																							
118/\$1,360	218/\$680	Extension for response within fourth month [†]																																																																																							
128/\$1,850	228/\$925	Extension for response within fifth month [†]																																																																																							
119/\$300	219/\$150	Notice of Appeal																																																																																							
141/\$1,210	241/\$605	Petition to revive unintentionally abandoned application																																																																																							
142/\$1,210	242/\$605	Utility Issue Fee (Or Reissue)																																																																																							
143/\$430	243/\$215	Design Issue Fee																																																																																							
122/\$130	122/\$130	Petitions to the Commissioner																																																																																							
123/\$50	123/\$50	Petitions related to provisional applications																																																																																							
126/\$240	126/\$240	Submission of Information Disclosure Statement																																																																																							
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	40																																																																																						
146/\$690	246/\$345	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																							
149/\$690	249/\$345	For each additional invention to be examined (37 CFR 1.129(b))																																																																																							
Other fee (specify):																																																																																									
Other fee (specify):																																																																																									
SUBTOTAL (3)			(\$40)																																																																																						

1. FILING FEE			
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$690	201/\$345	Utility Filing	345
106/\$310	206/\$155	Design Filing	
109/\$690	208/\$345	Reissue	
114/\$150	214/\$75	Provisional Filing	
SUBTOTAL (1)			(\$345)

2. CLAIMS		
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$78	202/\$39	Independent claims in excess of 3
104/\$260	204/\$130	Multiple dependent claim
109/\$78	209/\$39	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent

(Col. 1)		(Col. 2)		(Col. 3)		Fee		Fee Due	
For	No. of Existing Claims	minus*	Highest No. Previously Paid For	=	Extra**	x		=	
TOTAL	21	minus*	20 or 0	=	1	x	9	=	9
INDEP	5	minus*	3 or 0	=	2	x	39	=	78
[] First presentation of multiple dependent claim									

SUBTOTAL (2) **(\$87)**

* Subtract the greater number of Col. 2
** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Edward A. Van Gieson	Reg. Number	44,386
Signature		Date	August 29, 2000

¹ Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby
Rev. 11/04/99



VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN

Docket Number (Optional):
5067

Applicant or Patentee: Hon Wah Chin

Application or Patent No.: _____

Filing Date or Issue Date: _____

Title: RELATIVE ADDRESSING FOR NETWORK ELEMENTS

I hereby declare that I am

☐ the owner of the small business concern identified below:

☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN ONI Systems Corp.

ADDRESS OF SMALL BUSINESS CONCERN 166 Baypointe Parkway
San Jose, CA 95134-1621

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

☒ the specification filed herewith with title as listed above.

☐ the application identified above.

☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each such person, concern or organization having any rights in the invention is listed below:

☒ No such person, concern, or organization exists.

☐ Each such person, concern or organization is listed below:

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Michael A. Dillon

TITLE OF PERSON IF OTHER THAN OWNER Vice President, General Counsel

ADDRESS OF PERSON SIGNING 166 Baypointe Parkway, San Jose, CA 95134-1621

SIGNATURE [Signature]

DATE August 28, 2000

RELATIVE ADDRESSING FOR NETWORK ELEMENTS

Prepared By:
Edward A. Van Gieson, Reg. No. 44,386
Fenwick & West LLP
2 Palo Alto Square
Palo Alto, CA 94306
650.494.0600

Filed On: August 29, 2000

Express Mail No: EL482477912US

RELATIVE ADDRESSING FOR NETWORK ELEMENTS

RELATED APPLICATIONS

This application claims the benefit of U.S. provisional application serial number 60/151,583, "Relative Addressing For Network Elements," by inventor Hon Wah Chin, filed August 30, 1999, the entire contents of which are hereby incorporated by reference.

BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates generally to address protocols for transmitting information packets between the nodes of a network and to methods of network fault detection and isolation.

2. Description of Background Art

Packet data networks, such as optical networks and computer networks, have network nodes coupled by data links. There are a variety of different network topologies, such as linear, branched, mesh, and ring topologies. Packet data networks transmit data to network nodes in the form of message packets. Each message packet comprises a protocol data unit (PDU) that includes a message body (also known as the payload) and a header. The header contains source and destination address information that other network elements use to forward the message packet to its intended destination.

Conventional packet message forwarding techniques rely upon each node of a data network having a pre-determined network address. The address of each node may be assigned at the time the network is designed or may be assigned via a configuration process, such as a broadcast configuration process in which the nodes negotiate specific node addresses. In either case, prior to using the network for data communications, each network node has a pre-determined address. Network elements forward the message packet through the network to the receiving node based upon the pre-determined address along with other information related to protocols or rules for forwarding a message packet for a particular network topology.

There are several commonly used address protocols used in the network industry. These include the Ethernet address protocol, described in the Institute of Electrical and the Electronic Engineers (IEEE) standard 802.3, and Internet Protocol (IP) address standard, described in the request for comment (RFC) 791.

FIG. 1A is a prior art illustration of representative IP address datagram header described in RFC 791. The IP datagram header is the “envelope” in which data is transmitted. It includes header data fields for the Internet Protocol source address of the host sending the datagram and the Internet Protocol destination address of the host to receive the message packet. It also includes other standard data fields, such as a version field which is the version number of the protocol; an Internet header length (IHL) field corresponding to the length of the header; type of service field corresponding to various levels of speed and reliability; a total length field corresponding to the total length of the datagram; an identification field which is a

value used for a fragmented datagram to indicate that a fragment belongs to a particular datagram; a flags field 140, typically used to indicate whether the packet is the last fragment; a fragment offset field 145, used to indicate where the datagram fragment belongs in a set of fragments; a time to live field 150, which is decremented with every pass through a router and which is used to discard a packet after a preset number of routing attempts; a protocol field 155 corresponding to the transport layer process used to accept the datagram; a header checksum field 160, which is an error correction code for the header; and options/padding field 165, an optional information and filler added so that the header is a multiple of 32 bits. FIG. 1B is an exemplary portion of a message packet in accord with the RFC 791 standard. As can be seen in FIG. 1B, the header data fields of a particular packet correspond to numerical codes. Additionally, message packet 100 includes other user data fields 160, 165, 170 for performing other functions, such as transporting a payload.

FIG. 2A is a prior art illustration of a representative Ethernet address header 200 similar to that described in IEEE standard 802.3. It includes fields for the source address 205 and destination address 210 of the message packet. FIG. 2A also shows a continuation destination address field 220 and a continuation source field 225 for 48 bit address fields, in accord with a conventional visual representation of an Ethernet address header 200. Additionally, it includes a data field for the Ethertype 215. The Ethertype field is a code that is assigned by the IEEE Ethertype Field Registration Authority. The Ethertype field is typically used to provide a means of protocol identification, i.e., the developer of a data transport protocol registers an Ethertype. There is one Ethertype

reserved for IP packets over Ethernet. Additionally, as shown in FIG. 2B, an organization may obtain an Organizationally Unique Identifier (OUI) from the IEEE Registration Authority to uniquely identify each node of a network. Each IEEE network interface is uniquely identified. An Organization building nodes may obtain an OUI
5 from the IEEE from which they can create unique identifiers, i.e., the organization may buy a range of potential IDs that can be distinguished by the OUI field and then assign these IDs to the equipment they provide or sell. The OUI/company ID is a 24 bit globally unique assigned number. The OUI is used in the family of IEEE 802 standards for local area networks, Ethernet, and token rings. The OUI is used by a manufacturer to
10 specify the unique media access control (MAC) address for each device produced by a manufacturer. For example, an OUI can be used to generate 48-bit Universal local area network MAC addresses to uniquely identify LAN stations and metropolitan area network (MAN) stations. A single Ethertype field is all that is required to limit reception of a message packet to a particular class of devices. There are also provisions
15 for sub-type fields. FIG. 2B shows an exemplary destination address field for an IEEE 802 MAC header. It includes an OUI field 270, a vendor field 275, and other optional fields 280, 285. The M-bit 280 in the Ethernet address can be used to establish a multicast address to be received by a group of stations. There is also a broadcast address option to permit all Ethernet interfaces to receive a frame having the broadcast address.

20 Data networks may experience failures in individual nodes or the data links between nodes. FIG. 3 shows a linear chain network 300 having a plurality of network nodes 302, 304, 306, and 308 coupled by data links 310. Network 300 may, for

example, comprise a chain of network elements regenerating a signal over a long distance. Network 300 may also comprise a portion of a larger network, such as a branched chain network (as indicated in phantom) with data links 380 and 382 and nodes 390 and 392 coupled to node 308. As illustrated by break 315, a fault may occur
5 along a portion of a data link. Alternately, a signal node, such as node 304, may also fail and disrupt traffic.

Network faults disrupt communications between network elements, resulting in lost data traffic. It is desirable to quickly detect not only the occurrence of a fault but also its location so that redundant elements may be switched into service and/or field
10 repairs made to repair the fault commenced as soon as possible. For optical networks, one approach to the problem of network faults is the synchronous optical network (SONET) protocols for monitoring performance in the time division multiplexing (TDM) domain. However, in the event of a network fault provisioning and configuration of the network elements is required with new addressing and routing
15 information, i.e., the system negotiates and assigns new fixed addresses for each node after determining the topology of the system and the new signal paths.

One drawback of conventional message packet protocols is that it may take longer than desired for the network to perform the provisioning and configuration process to determine the new topology and/or assign new addresses. This may result,
20 for example, in lost traffic during the provisioning and configuration process. The time required to negotiate and assign new addresses also limits the ability of the system to

make electrical equipment switches or line equipment switches on sub-sections of the network.

Therefore, there is a need for an improved message packet protocol for data networks that can deliver message packets even if the network is not provisioned or
5 configured.

SUMMARY OF THE INVENTION

The present invention broadly comprises a relative address protocol and method for sending message packets to a destination node a preselected number of nodes away from a source node along a portion of a network, such as a linear chain network. One
10 aspect of the present invention is that it does not require the use of a fixed, assigned, or pre-known network address to send message packets to a destination node. One application of the address protocol is to send status query messages to nodes, such as a regenerator node of a regenerating chain, requesting the node to return a status message, such as a status message indicating that the regenerator node is functional.

15 An embodiment of a relative address protocol of the present invention generally comprises: an identifier field containing an identifier to identify the message packet as having a relative address protocol; and a relative destination address field including a counter programmed with an initial value at the source node corresponding to a destination node that is a preselected number of nodes away from the source node along
20 the linear chain; wherein the counter is adjusted by a preselected step in value at each node the message packet is forwarded to along the chain until the counter reaches a

trigger value indicating that the destination node has been reached. In a preferred embodiment, the protocol also includes a relative source address field for storing the initial value, whereby a destination node may determine a relative address back to the source node. In one embodiment, the protocol is used to send a message packet that is a status query message to the destination node, the status query message requesting the destination node to send a message back to the source node reporting on its condition. In one embodiment the protocol is used in a linear chain network comprised of regenerator nodes used to regenerate message packets.

The present invention also includes methods of sending a message packet from a source node to a destination node using a relative address protocol having an identifier field for an identifier, a relative source address for storing an initial value, and a relative destination address field for containing a counter. The method generally comprises the steps of: selecting an initial value that is a function of a desired number of node hops along the linear chain from the source node; programming the counter to have the initial value; adjusting the value of the initial value of the counter by a preselected step in value at each node that the message packet is forwarded to; and accepting the message packet at a destination node when the counter value reaches a preselected trigger value wherein the preselected step in value is chosen so that the initial value reaches the trigger value when the packet has traversed the desired number node hops. In a preferred embodiment, the message packet comprises a status query message and further including the steps of: requesting the destination node to send a status message packet having a second identification field and a second counter back to the source node; programming the second counter to have the initial value; adjusting the value of the initial value of the second counter by the preselected step in value at each node that the message packet

passes through; and accepting the message packet when the counter value reaches the preselected trigger value; whereby the status message packet is returned to the source node. An embodiment of the method for use in fault detection includes sending a plurality of status query reply messages to nodes having different relative addresses and
5 determining the node the largest number of node hops from the source node returning a status message indicating that the node is operational. In one embodiment of the method, the network nodes are regenerator elements, whereby a fault in a regenerator element may be determined.

BRIEF DESCRIPTION OF THE DRAWINGS

FIGS. 1A and 1B are diagrams of a prior art network packet address header in accord with an Internet Protocol.

FIGS. 2A and 2B are diagrams of a prior art network packet address header in accord with an Ethernet standard

FIG. 3 is an illustrative diagram of a prior art data network having a linear chain portion.

FIG. 4 is an illustrative diagram of a relative address protocol format in accordance with the present invention.

FIG. 5 is an illustrative diagram illustrating a countdown mode of use of the relative address protocol of FIG. 4 in a linear chain network.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The figures depict a preferred embodiment of the present invention for purposes of illustration only. One of skill in the art will readily recognize from the following discussion that alternative embodiments of the structures and methods disclosed herein may be employed without departing from the principles of the claimed invention.

FIG. 4 shows an embodiment of a relative address protocol 400 of the present invention. The relative address protocol 400 of the present invention may be used for communicating message packets along a portion of a network acting as a network chain of nodes coupled by data links. It will be understood that chain networks include sections of networks that function like linear chain networks over a portion of the network. As used in this application, the term “node” includes the broad meaning that a node is a point in a network where at least two data communication lines are interconnected. This includes conventional network nodes that couple data to network devices or to tributary networks. However, it will also be understood that as used in this application a node also includes a variety of network elements for generating, forwarding, receiving, regenerating, or altering an attribute of a message packet. In particular, as used in this application a chain network includes any chain connection of packet data network elements coupled in a chain configuration by data links, such as a chain of regenerative network elements for transporting a message packet over a long distance. For example, in the optical network art, this includes a chain network formed

by linking a sequence of optical fibers and optical regenerators to form a long distance communication link.

As shown in FIG. 4, relative address protocol 400 includes a header portion 490 and a payload data unit 450. Relative address protocol 400 is preferably implemented as
 5 a variation of conventional packet data protocols used in network systems, such as IP address and MAC protocols used in Internet and optical network applications.

The header portion 490 of message packet 400 may thus include one or more standard header fields 405 (indicated by header fields 1 to n) used in common message protocols, such as header fields for header length. However, unlike conventional
 10 message packet data protocols, relative address protocol 400 includes an identifier field 410 for storing an identifier and a relative destination address field 420 configured to permit message packets to be forwarded by a pre-selected number of nodes along a chain network relative to a source node.

The relative destination address field 420 comprises a field for a counter 440 for
 15 for counting the number of nodes that that the message packet has encountered (“hopped”) from the source node. It will be understood that relative destination address field 420 may also include a sub-field for other information, such as a directional code corresponding to the port (e.g., the north or south port of the source node) from which the message packet is to be sent along the chain. A relative source address field 430 is
 20 also preferably included that records the initial value of the pre-selected number of node hops, since this information provides a destination node a relative return address back to the source node. If the present invention is implemented as a modified Internet Protocol

packet, the relative destination address (e.g., the counter 440) and relative source address 430 may be formed in a field traditionally used for an IP fixed address field 105, 110 of a conventional Internet Protocol 100. In an analogous manner, if the present invention is implemented as a modified MAC protocol, the relative destination address 420 (e.g.,
5 the counter 440) and relative source address 430 (e.g., the initial value of desired node hops from the source) may be implemented in two address fields 205, 210 of a conventional MAC protocol 200.

The identifier 410 may be recorded in a field of conventional packet protocol reserved for a conventional protocol or message type identifier. For a modified MAC
10 protocol implementation, the identifier 405 is preferably an organizationally unique identifier (OUI) 270. The OUI may, for example, be one of the numerical codes available from standard setting bodies such as the Institute of Electrical and Electronics Engineers (IEEE). For IP packets over the Ethernet, the identifier is preferably an
15 Ethertype code 215 or an Ethernet Multicast address. If an Ethernet Multicast address is used the M-Bit in the Ethernet address would be set for multi-cast. For a modified IP protocol implementation, the identifier is preferably a code from the Internet Assigned Numbers Authority (IANA).

It will be understood, however, that conventional message packet protocols have several different fields into which the identifier 410, counter 440, and relative source
20 address 430 of the present invention may be located to alter the function of the message packet protocol from a traditional fixed/assigned address protocol to the relative address protocol of the present invention. Consequently, it is believed that the relative address

protocol of the present invention may be implemented as a variation of a variety of conventional data packet protocols.

In a preferred embodiment, the counter 440 is used to count the number of nodes that the message packet passes through (“hops”) from its source element relative to an initial value corresponding to a pre-selected number of node hops. The initial value of the number of desired node hops is preferably stored in a relative source address field 430 to facilitate a destination node determining a relative return address of the source node, e.g., three hops to the destination node and three hops back to the source node. Counter 440 interprets each node hop away from the source as a count (e.g., a positive or negative integer) of node hops that brings the packet one hop closer to desired pre-selected initial number of node hops.

The function of counter 440 can be accomplished in several different ways. Counter 440 may be incremented or decremented in value at each new node that the message packet encounters. For example, the counter may start at a value of zero and be increased in value by a pre-selected step in value (incremented in value) at each subsequent node until the counter reaches the pre-selected initial value of node hops. Alternately, the counter may start at the pre-selected value of node hops and be decreased in value by a pre-selected step in value (decremented in value) at each subsequent node. For example, in a countdown mode, the counter may be programmed to pass the packet forward until the counter has counted down to zero. Alternately, in a count up mode the counter may be programmed to pass the packet forward until the counter has counted up from an initial value (e.g., zero) until it reaches the pre-selected

number of node hops. In a preferred embodiment, the initial value is an integer corresponding to the desired number of node hops that is stepped in value by one at each subsequent node. However, it will be understood that the initial value may also be a mathematical function of the desired number of node hops, such as an initial value that

5 is a linear function of the desired number of node hops (e.g., ten times the number of desired node hops) as long as the step in value (i.e., the increment or decrement) at each node is correspondingly scaled so that the counter reaches a preselected trigger value after the desired number of node hops has occurred. While a linear function is one possible mathematical function that may be used to map the desired number of node

10 hops into an initial value, it will also be understood that more complex mathematical functions, such as an exponential function (by shifting a bit in a field), may be used to map the desired number of node hops into the initial value as long as the preselected step in value of the counter at each node is adjusted correspondingly so that the counter reaches the trigger value after the desired number of node hops has occurred. While a

15 trigger value of zero is the simplest embodiment, it will be understood that the nodes can be programmed to accept a message packet when the counter has been stepped in value to any pre-selected trigger value.

FIG. 5 shows an illustrative example of the use of the message packet protocol of the present invention. For the purposes of illustration, a countdown mode is assumed in

20 which the counter begins with an initial value corresponding to the number of desired node hops and is decreased in value at each node by a value of one. A chain network includes nodes 505, 510, 515, 520, and 520 coupled by data links 530 into a chain

network. At a source node 505, a message packet 400 has a counter 440 set with an initial value corresponding to three node hops, i.e., an initial value of three. At node 510, the first node hop occurs and the counter is decremented in value by a value of one, changing the current value of the counter to 2. At node 515, the second node hop occurs
5 and the counter is decremented to a current value of 1. At node 520 the third node hop occurs and the counter 440 is decremented to zero, which for a countdown mode with a trigger value of zero means that node 520 will accept the message packet.

The message packet protocol 400 of the present invention may be used for both chain networks and in portions of networks functioning like chain networks, e.g. quasi-
10 linear chain networks. Referring again to FIG. 3, chain network 300 may be part of a branched chain. Additionally, chain network 300 may also be a virtual chain network formed using data network protocol techniques to limit the path of data in a complicated network topology to a pre-selected chain of nodes within the larger network. For example, a virtual chain may be formed using multiple protocol label switching (MPLS)
15 or variations of virtual channel identifier (VCI) routing used in asynchronous transfer mode (ATM) networks.

One potential application of the relative address protocol of the present invention is to determine the location of network faults in a linear chain network or quasi-linear-chain network. For example, one problem in the network industry is a method of
20 quickly and inexpensively determining the location of a fault in a network that is a chain of nodes in which each node is a regenerator element, such as a regenerator element used to regenerate optical signals from a first optical fiber and transmit the regenerated

signals to a second optical fiber. Referring again to FIG. 3, nodes 302, 304, 306, 306, and 308 comprise a local chain network, such as a chain of regenerator elements that are part of a long-distance communication system. It is useful to have a method to rapidly determine where in the chain a failure has occurred. A network fault may disrupt data traffic along a portion of network 300. The network fault may be a failure of a communication link or a regenerator element. A network fault may mean a complete loss of communication between the end nodes 302 and 308. The relative address protocol of the present invention may be used to determine the location of a network fault. A source node, such as node 302, may be programmed to transmit status query messages (e.g., message packets instructing a destination node to send a status report in the return (opposite) direction back to the source node) to nodes along the chain using the relative address protocol 400 of the present invention. For example, the status query message may request a node a pre-selected number of node hops from source node 302 to report its status. A return reply by the node indicates that the node has received the query and is capable of receiving and sending message packets. However, it will be understood that the destination node may return other types of status information as well on the operational characteristics of the node. Note also that a lack of a response by a node 306 may be caused by either a fault in the destination node 306 or a fault 315 in a data link to the node. Consequently, another node at an opposed end of the chain, such as node 308, may also be used to transmit status report messages in the opposite direction along the chain. After the fault is detected, conventional network restoration methods may be used to restore traffic, such as the use of redundant elements to restore traffic or the initiation of field repairs. For example, a node that does not return a status

message or a message indicating that it has experienced a fault may be sent a subsequent message instructing the node to engage redundant back-up components.

The relative address protocol 400 of the present invention may be extended for use along sections of more complicated networks by including protocol rules when a data packet encounters a node having two or more output ports, such a node branching into a Y-shaped chain. There are two preferred methods for dealing with the situation that the message packet has hopped along a linear chain until the chain meets a more complex network topology. First, a branching node that receives a packet from a linear chain may be programmed to drop the packet as having an unrecognizable address and cause a timeout condition. Alternately the branching node can be programmed to return an error message indicating that a branching node was reached. Error-reporting protocols, such as Internet Control Message Protocol (ICMP) indication, can be adapted to perform a branching node error message. A branching node error message is preferably returned with a data field that includes the number of node hops that the branching node is relative to the source node.

A ring topology resembles a chain network with its two ends 302 and 308 joined together. Consequently, the relative address protocol 400 of the present invention may also be applied to a section of a network having ring topology by using the relative address protocol to specify the number of node hops in a clock-wise or counter-clockwise direction around a section of the ring. Note that in a ring topology a message packet may be inadvertently forwarded back to the source node. Consequently, if relative address protocol 400 is used in a ring topology at least one address field is

preferably included having a source identification code to permit the source node to recognize that a message packet has come back to it from a complete trip around the ring. Depending upon the ring configuration, the ring may transmit data either unidirectionally or bidirectionally. For the case of a bi-directional ring, the relative address protocol 400 preferably includes a field corresponding to the direction (e.g., clockwise or counter clockwise) that the data packet travels with respect to a source node.

While particular embodiments and applications of the present invention have been illustrated and described, it is to be understood that the invention is not limited to the precise construction and components disclosed herein and that various modifications, changes and variations which will be apparent to those skilled in the art may be made in the arrangement, operation and details of the method and apparatus of the present invention disclosed herein without departing from the spirit and scope of the invention as defined in the appended claims.

CLAIMS

What is claimed is:

1 1. An address protocol for forwarding a message packet from a source node
2 to a destination node along a sequence of communicatively coupled nodes functioning as
3 a linear chain network, the address protocol comprising:

4 a relative destination address field including a counter programmed with an
5 initial value at the source node corresponding to a destination node that is a preselected
6 number of nodes away from the source node along the linear chain network;

7 wherein the counter is adjusted by a preselected step in value at each node the
8 message packet is forwarded to along the chain network until the counter reaches a
9 trigger value indicating that the destination node has been reached.

1 2. The protocol of Claim 1, further comprising an identifier field containing
2 an identifier to identify the message packet as having a relative address protocol.

1 3. The protocol of Claim 2, further comprising a relative source destination
2 field containing the initial value.

1 4. The protocol of Claim 1, further comprising a relative source destination
2 field containing the initial value.

1 5. An address protocol for forwarding a message packet from a source node
2 to a destination node along a sequence of communicatively coupled nodes functioning as
3 a linear chain network, the address protocol comprising:

4 an identifier field containing an identifier to identify the message packet as
5 having a relative address protocol; and

6 a relative destination address field including a counter programmed with an
7 initial value at the source node corresponding to a destination node that is a preselected
8 number of nodes away from the source node along the linear chain network;

9 wherein the counter is adjusted by a preselected step in value at each node the
10 message packet is forwarded to along the linear chain network until the counter reaches
11 a trigger value indicating that the destination node has been reached.

1 6. The protocol of Claim 5, further comprising a relative source address
2 field for storing the initial value.

1 7. The protocol of Claim 5, wherein the initial value is an integer having an
2 absolute value equal to the desired number of node hops and the counter is changed by a
3 step in value of one at each node.

1 8. The protocol of Claim 7, wherein the counter is programmed with the
2 initial value and the counter is counted down by one at each node hop until a trigger
3 value of zero is reached.

1 9. The protocol of Claim 7, wherein the counter has an initial value of zero
2 and the counter is counted up by one at each node hop until a trigger value equal to the
3 initial value is reached.

1 10. The protocol of Claim 5, wherein the initial value is a linear function of
2 the desired number of node hops.

1 11. The protocol of Claim 5, wherein at least one node in the linear chain is a
2 regenerator element.

1 12. The protocol of Claim 5, wherein the chain network is a virtual chain
2 network.

1 13. The protocol of Claim 5, wherein the chain network comprises a portion
2 of a ring network.

1 14. A method of sending a message packet along a portion of a network
2 function as a linear chain network from a source node to a destination node using an
3 address protocol having an identifier to identify the message packet as having a relative
4 address protocol, a relative source address field for storing an initial value, and a relative
5 destination address field containing a counter, the method comprising the steps of:
6 selecting an initial value that is a function of a desired number of node hops
7 along the linear chain network from the source node;

programming the counter to have the initial value;
adjusting the counter by a preselected step in value at each node that the message
packet is forwarded to; and
accepting the message packet at a destination node when the counter value
reaches a preselected trigger value;
wherein the preselected step in value is chosen so that the counter reaches the
trigger value when the packet has completed the desired number of node hops.

15. The method of Claim 14, wherein the message packet comprises a status
query message and further comprising the steps of:

requesting the destination node to send a status message packet having a second
identification field and a second counter in a direction along the chain back to the
source node;

programming the second counter to have the initial value;
adjusting the second counter by the preselected step in value at each node that
the message packet is forwarded to; and

accepting the status message packet when the counter reaches the preselected
trigger value;

whereby the status message packet is returned to the source node.

16. The method of Claim 15, wherein at least one of the nodes of the chain
includes a regenerator element.

1 17. The method of Claim 15, further comprising the steps of: selecting a
2 return message; programming a second counter disposed in an address protocol of the
3 return message to have a return value having equal in magnitude of the initial value;
4 transmitting the second message in the return direction; adjusting the second counter by
5 the magnitude of the preselected value at each node that the message packet is
6 forwarded to; and accepting the return message packet at the source node when the
7 second counter reaches the preselected trigger value.

1 18. A method of sending a message packet along a chain network having
2 regenerator nodes from a source node to a destination node using an address protocol
3 having an identifier to identify the message packet as having a relative address protocol,
4 a relative source address for storing an initial value, and a relative destination address
5 field containing a counter, the method comprising the steps of:

6 selecting an initial value that is a function of a desired number of node hops
7 along the linear chain from the source node;

8 programming the counter to have the initial value;

9 adjusting the initial value of the counter by a preselected step in value at each
10 node that the message packet is forwarded to; and

11 accepting the message packet at a destination node when the counter value
12 reaches a preselected trigger value;

13 wherein the preselected step in value is chosen so that the initial value reaches
14 the trigger value when the packet has completed the desired number of node hops.

1 19. The method of Claim 18, wherein the message packet comprises a status
2 query message and further comprising the steps of:

3 requesting the destination node to send a status message packet having a second
4 identification field and a second counter back to the source node;

5 programming the second counter to have the initial value;

6 adjusting the second counter by the preselected step in value at each node that
7 the message packet is forwarded to; and

8 accepting the message packet when the second counter reaches the preselected
9 trigger value;

10 whereby the status message packet is returned to the source node.

1 20. The method of Claim 19, further comprising the steps of:

2 sending a plurality of status query messages to a plurality of destination nodes,
3 the destination nodes having initial values corresponding to nodes that are each a
4 different number of node hops from the source node;

5 receiving status messages from responding destination nodes; and

6 determining the relative distance of responding nodes as a function of the initial
7 value of each responding node;

8 whereby a fault is isolated to a part of the network subsequent to the responding
9 active node the greatest number of node hops from the source node.

1 21. A method of detecting a fault in a linear chain of regenerator nodes using
2 a relative address protocol having an identifier for identifying a message packet as
3 having the relative address protocol, a relative source address for storing an initial value,
4 and a relative destination address field containing a counter, the method comprising the
5 steps of:

6 sending a first status query message packet requesting a status message from a
7 destination node at least one node hop from the source node; and

8 sending at least one subsequent status query message packet requesting a return
9 status message from another destination node corresponding to a different number of
10 node hops from the source node and recording whether the return status message is
11 received at the source node; and

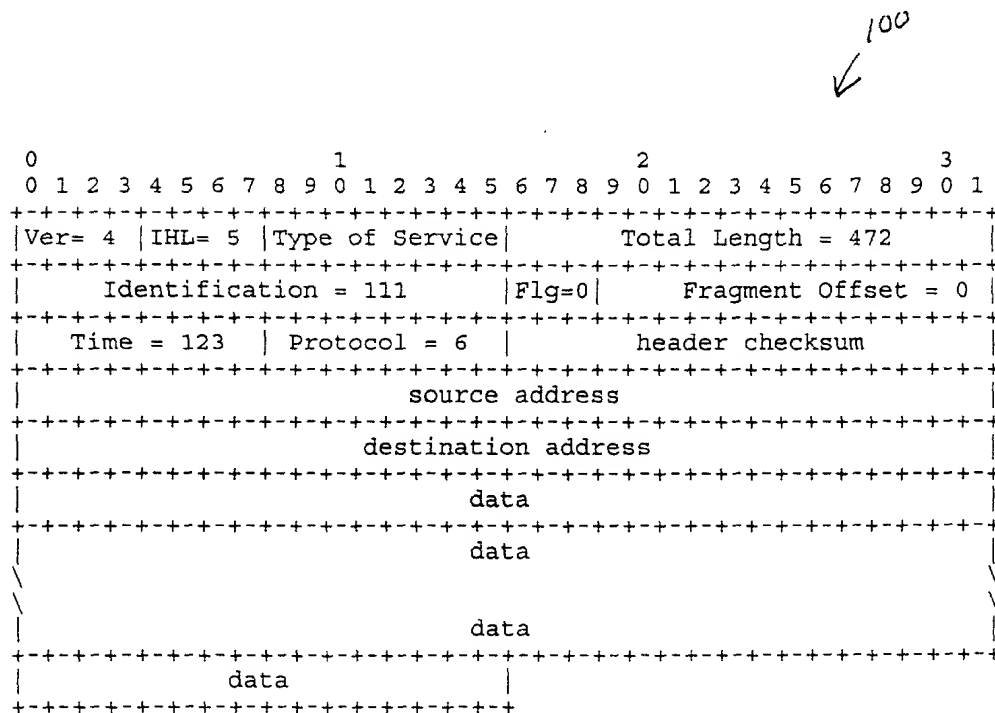
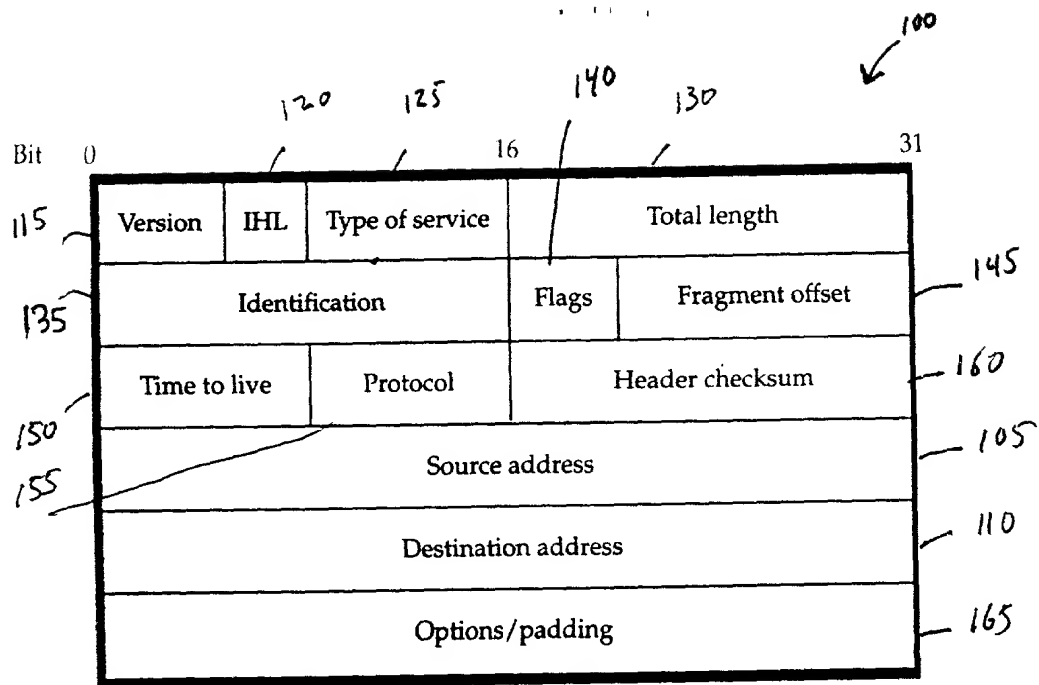
12 determining the node the greatest number of node hops from the source node
13 replying to the status query message directed to it;

14 wherein a fault in a node is isolated to a portion of the chain network subsequent
15 to the node the greatest number of node hops from the source node returning the
16 corresponding status message.

RELATIVE ADDRESSING FOR NETWORK ELEMENTS

ABSTRACT OF THE DISCLOSURE

A relative address protocol for communicating message packets along a linear chain network or quasi-linear chain network is disclosed. The protocol includes a relative destination address field that includes a counter having a preselected initial value at a source node. The initial value is a function of the desired number of node hops that the message packet should travel from the source node. The counter is adjusted in value at each subsequent node by a preselected step in value. When the counter value reaches a trigger value the message packet is accepted by a destination node. In a preferred embodiment, a relative source address field stores the initial value so that the destination node may return a status message to the source node.



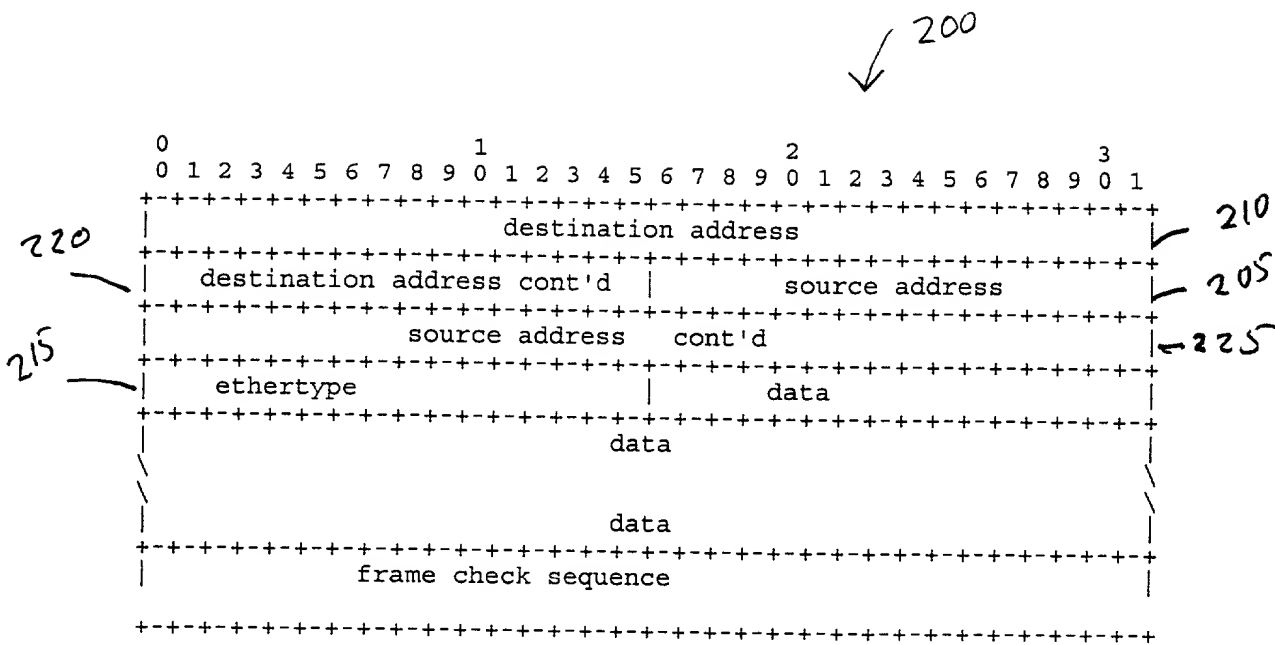


FIG. 2A (prior art)

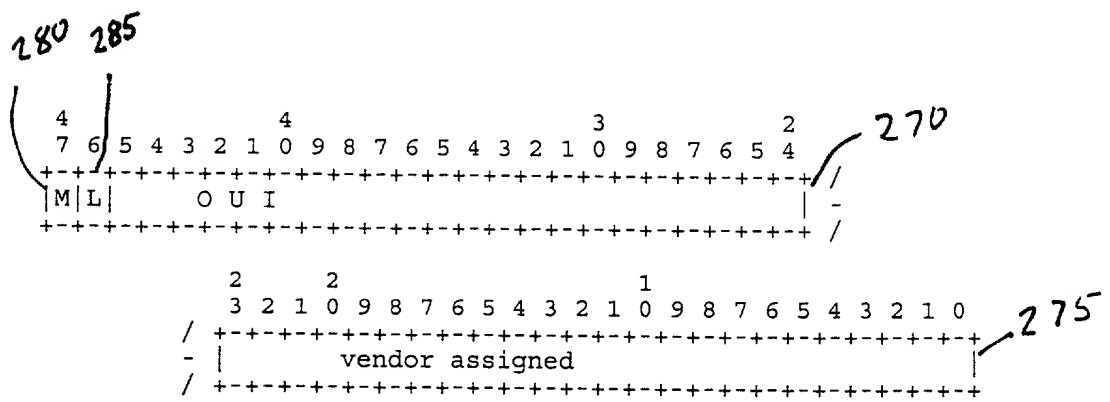


FIG. 2B (prior art)

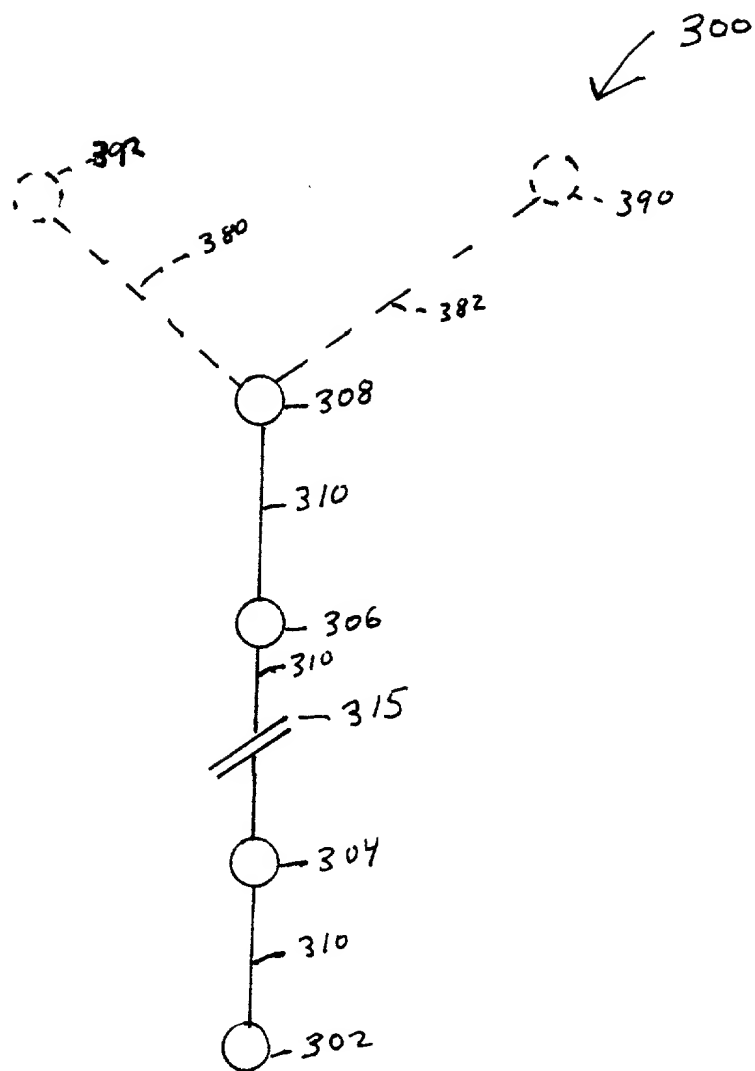


FIG. 3 (prior art)

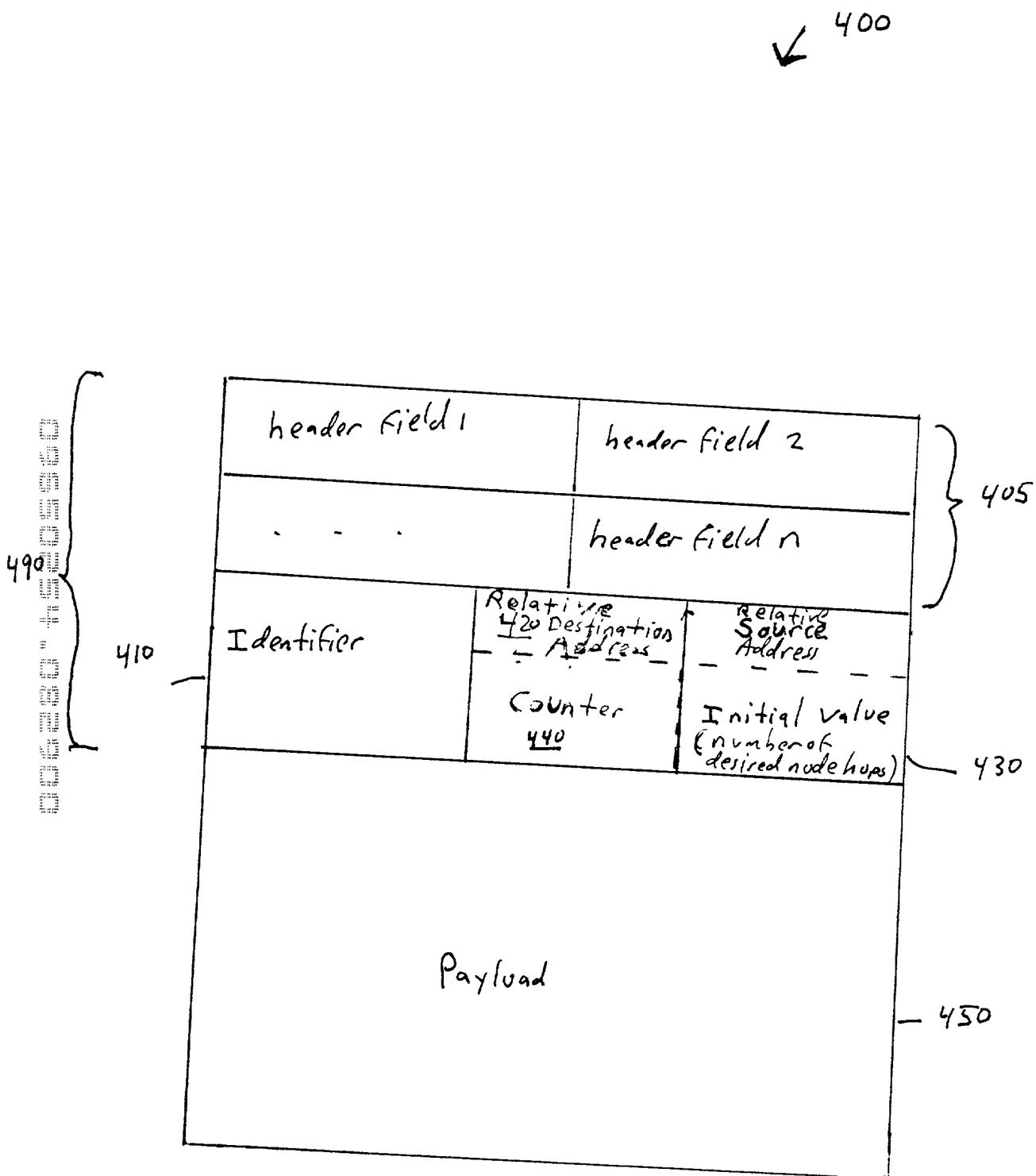


FIG. 4

500

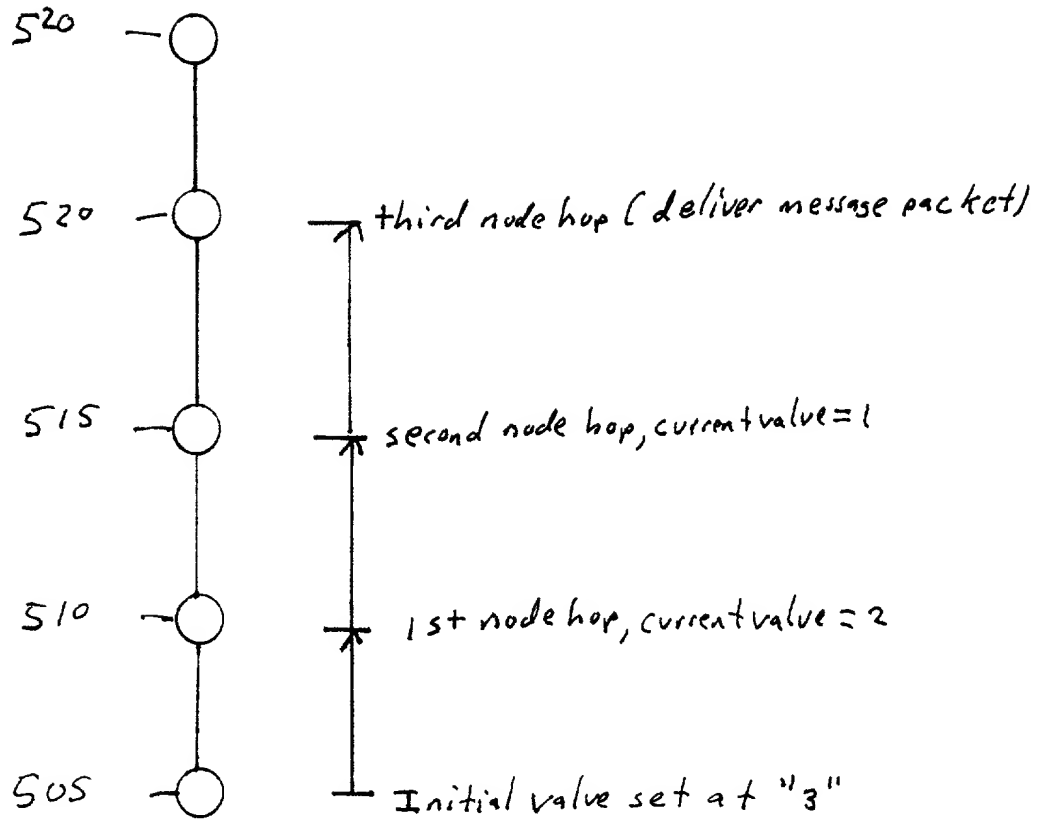


FIG. 5

0010/PTO Rev. 6/95 U.S. Department of Commerce Patent and Trademark Office DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing OR <input type="checkbox"/> Declaration Submitted after Initial Filing	Attorney Docket Number	5067
	First Named Inventor	Hon Wah Chin
	COMPLETE IF KNOWN	
	Application Number	
	Filing Date	
	Group Art Unit	
	Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

RELATIVE ADDRESSING FOR NETWORK ELEMENTS

the specification of which

(Title of the Invention)

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) [] as United States Application Number or PCT International Application Number [] and was amended on (MM/DD/YYYY) [] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations. § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or § 385(b) of any foreign application(s) for patent or inventor's certificate, or § 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority	Certified Copy Attached?	
			Not Claimed	YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

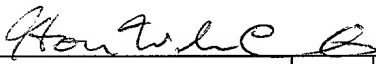
☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.		
Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental sheet attached hereto.
60/151,583	08/30/1999	



DECLARATION			Page 2
I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.			
U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
<input type="checkbox"/> Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.			

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:			
Name Greg T. Sueoka	Registration Number 33,800	Name Edward A. Van Gieson	Registration Number 44,386
<input type="checkbox"/> Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.			
Please direct all correspondence to: Edward A. Van Gieson Fenwick & West LLP Two Palo Alto Square Palo Alto, CA 94306 U.S.A.			
Telephone	(650) 858-7997		Fax (650) 494-1417

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.							
Name of Sole or First Inventor:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Hon	Middle Name	Wah	Family Name	CHIN	Suffix e.g. Jr.	
Inventor's Signature					Date	8/28/00	
Residence: City		State	CA	Country	USA	Citizenship	
Mailing Address							
Mailing Address							
City		State	CA	Zip		Country	USA
<input type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto							

